## ATTORNEY DOCKET NO. 21108.0011U6 PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
CHANG, Chawnshang	) Art Unit: Unassigned
Application No. 10/517,155	) Examiner: Unassigned
International Filing Date: June 6, 2003	) Confirmation No. 5685
For: ANDROGEN RECEPTOR COREGULATORS	)

#### RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C. Customer Number 23859

Sir:

In response to Notification of Defective Response mailed April 26, 2006, applicants hereby submit a diskette containing the corrected Sequence Listing for this application in computer readable form (CRF) and a corrected paper copy of the Sequence Listing in compliance with 37 C.F.R. §§ 1.821-1.825.

Applicant also provides herewith a statement that the content of the Sequence Listing information recorded in computer readable form is identical to the written Sequence Listing and includes no new matter.

353438

## **ATTORNEY DOCKET NO. 21108.0011U6 Application No. 10/517,155**

A Credit Card Payment Form PTO-2038 authorizing payment in the amount of \$795.00 representing the fee for a small entity under 37 C.F.R. § 1.17(a)(4), and a Request for Extension of Time are enclosed. This amount is believed to be correct; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

J. Gibson Lanier, Ph.D.

Registration No. 57,519

NEEDLE & ROSENBERG, P.C. Customer Number 23859 (678) 420-9300 (678) 420-9301 (fax)

CERTIFICATE OF MAILING UNDER 37 (	C.F.R. § 1.8
	included, is being deposited with the United States Postal for Patents, P.O. Box 1450, Alexandria, VA 22313-

PRIORITY DATE

06/06/2002



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

I.A. FILING DATE

06/06/2003

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/517,155 Chawnshang Chang 21108.0011U6

INTERNATIONAL APPLICATION NO.

PCT/US03/17937

23859 NEEDLE & ROSENBERG, P.C. SUITE 1000 999 PEACHTREE STREET ATLANTA, GA 30309-3915

CONFIRMATION NO. 5685
371 FORMALITIES LETTER

\*OC000000018605736\*

Date Mailed: 04/26/2006

#### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 12/06/2004
- Copy of the International Search Report filed on 12/06/2004
- Preliminary Amendments filed on 12/06/2004
- Information Disclosure Statements filed on 12/06/2004
- Biochemical Sequence Diskette filed on 01/10/2005
- Oath or Declaration filed on 01/10/2005
- Biochemical Sequence Listing filed on 01/10/2005
- U.S. Basic National Fees filed on 12/06/2004
- Priority Documents filed on 12/06/2004
- Power of Attorney filed on 12/06/2004

aned - Omu

RECEIVED

MAY 0 1 2006

NEEDLE & ROSENBERG

Applicant's response filed 01/10/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 12/02/2005 have not been completed.

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
  the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as
  indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a

substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/517,155	PCT/US03/17937	21108.0011U6

FORM PCT/DO/EO/916 (371 Formalities Notice)

### STIC Biotechnology Systems Branch

# RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Ser	rial Number	/0/5	5/7.	15	55	
Source:				PI	CI	:
Date Processed	by STIC:		3/3	L	106	·.

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission. User Manual: ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
  U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
  Alexandria, VA 22314

Revised 01/10/06



PCT

RAW SEQUENCE LISTING DATE: 03/31/2006
PATENT APPLICATION: US/10/517,155 TIME: 15:12:17.

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     6 <120> TITLE OF INVENTION: Androgen Receptor Coregulators
     9 <130> FILE REFERENCE: 21108.0011U6
    11 <140> CURRENT APPLICATION NUMBER: 10/517,155
C--> 12 <141> CURRENT FILING DATE: 2004-12-06
     14 <150> PRIOR APPLICATION NUMBER: PCT/US03/17937
     15 <151> PRIOR FILING DATE: 2003-06-06
    17 <150> PRIOR APPLICATION NUMBER: US 60/387,087
    18 <151> PRIOR FILING DATE: 2002-06-06
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                                                  Met Ser Ser Glu Asp
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    .56 cga gaa gct cag gag gat gaa ttg ctg gcc ctg gca agt att tac gat
                                                                             102
    57 Arg Glu Ala Gln Glu Asp Glu Leu Leu Ala Leu Ala Ser Ile Tyr Asp
                        10
                                             15
    60 gga gat gaa ttt aga aaa gca gag tct gtc caa ggt gga gaa acc agg
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    61 Gly Asp Glu Phe Arg Lys Ala Glu Ser Val Gln Gly Gly Glu Thr Arg
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Input Set : A:\211080011U6.TXT

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69	Ser	Asn	Glu	Cys	Leu	Gln	Asn	Ser	Gly	Phe	Glu	$\mathtt{Tyr}$	Thr	Ile	Cys	Phe	
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78					90					95					100		
	_			_		-	_			_	aac			-	-		390
	Gln	Leu	Ser		Leu	Cys	Lys	His		Asp	Asn	Leu	Trp		Glu	His	
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86			120					125					130				
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	Thr		Ala	Tyr	Leu	Asn		vaı	ser	Pro	Phe		Leu	Lys	He	GIY	
90		135					140					145					
		_				_	_				caa						534
94		GIII	пÀв	гуу		155	Arg	Arg	TIIT	AIa	Gln 160	Ald	Ser	PLO	ASII	165	
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											Asp						502
98	GIU	Бец	nap	rne	170	Gry	AIG	AIG	Gry	175	vsb	vaı	дар	GIII	180	GIU	
	att	ata	gat	: gag		gga	ato	r cao	r gat		ı daa	t.ca	acto	r t.ca		ctg	. 630
																Leu	
102				185	_				190		- 0-0			195			
104	ato	caq	qaa	ato	ttq	qac	ttt	gat	caa	qct	cag	cac	ata	aaa	tac	ttt	678
																Phe	
106			200			-		205					210		•		
108	aat	agt	aaa	ttg	ttc	ctg	tgo	agt	ato	tgt:	tto	tgt:	gag	aag	ctg	ggt	726
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113	Ser	Glu	Cys	Met	Tyr	Phe	Leu	Glu	Cys	Arg	, His	Val	Tyr	Cys	Lys	Ala	
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											tcg						870
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Input Set : A:\211080011U6.TXT

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		Arg	Pro	Cys	Cys		Leu	Pro	vaı	Met		GIu	Pro	GIY	Cys		
	310				<b>.</b>	315		4			320	<b>. .</b>				325	1060
							tgc										1062
	мет	GIY	me	Cys		ser	Cys	Asn	Pne		Pne	Cys	THE	Leu	_	Arg	
138	++~	200	+	ast	330	ata	taa	999	+~+	335	~+~	aat	~~·	~~~	340	++-	1110
							tcc Ser										1110
142	пец	1111	ı yı	345	GIY	vai	Ser	FIO	350	цуз	val	1111	AIG	355	цуз	Dea	
	atg	gac	tta		aat	gaa	tac	cta		aca	gat	gag	act		aaa	aga	1158
	_	_		_		_	Tyr	_			_		-			_	1100
146			360	3			-1-	365	<b></b>		F		370		-1-	3	
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150		375	~		-	•	380	•	_			385	•				
152	gag	atg	gaa	agt	aag	gag	tgg	cta	gag	aag	aac	tca	aag	agc	tgc	cca	1254
153	Glu	Met	Glu	Ser	Lys	Glu	Trp	Leu	Glu	Lys	Asn	Ser	Lys	Ser	Cys	Pro	
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							tat										1350
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							Ala										1110
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	gat		qta	qaa	qac	taq	ttaa	actac	eta d	ctcaa	agata	at tt	aact	acto	1		1494
	-	Glu	_		_	· * _					_			_	•		
	470				-												
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		)> SE				_	~7		~1	~ 7	_	~7		_		_	
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188	1	0	<b>-</b> 1-		5	<b>~</b> 1	7)	~1	Db -	10	T	70.71	<b>~1</b>	0	15	G1	
	Ата	ser	тте		Asp	стλ	Asp	GIU		Arg	гуѕ	Ата	GIU		val	GIU	
190	C1	C1	C1.	20 Thr	7~~	т1 ~	Тъ • • •	Lou	25 7 cm	Lev	Dra	GI ~	7 ~~	30 Pho	Lara	т1о	
エンエ	GIA	дту	GIU	TIIL	ALY	TTE	Tyr	пeп	vsh	⊔eu	LIO	GTII	VOII	FIIE	пys	116	

Input Set : A:\211080011U6.TXT

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	145	_	_	_	1	150	_	_			155				_	160
	Ala	ser	Pro	Asn		GIU	ьeu	Asp	Pne		GIĀ	Ala	Ala	GIA	Ser	Asp
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210	Can	т	0	180	7	т1.	<b>~1</b> ~	a1	185	<b>T</b>	<b>3</b>	Dl	*	190	<b>77</b> -	<b>~</b> 1
	ser	теп		ASII	ьeu	тте	GIII		тте	ьeu	Asp	Pne	_	GIN	Ala	Gin
212	Cln.	т1о	195	Ctta	Dho	λan	Cor	200	T 011	Dho	T 011	C	205	T1.	O	Dha
214	GIII	210	пуъ	Cys	Pile	ASII	215	ьуѕ	пеп	Pne	ьеu	220	Ser	тте	Cys	Pne
	Cve		Lare	T.011	Gl v	Car		Cara	Mot	Пага	Dho		Clu	Cara	Arg	uia
	225	GIU	цуз	пси	GLY	230	GIU	СуБ	Mec	+ Y T	235	пец	Giu	Cys	ALG	240
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Input Set : A:\211080011U6.TXT

Output Set: N:\CRF4\03312006\J517155.raw

241 Cys Met Gly Ser Leu Ser Arg Ala Asn Pro Tyr Lys His Phe Asn Asp 440 435 243 Pro Gly Ser Pro Cys Phe Asn Arg Leu Phe Tyr Ala Val Asp Val Asp 455 450 245 Asp Asp Ile Trp Glu Asp Glu Val Glu Asp 470 248 <210> SEQ ID NO: 3 249 <211> LENGTH: 1335 250 <212> TYPE: DNA 251 <213> ORGANISM: Homo sapien 253 <220> FEATURE: 254 <221> NAME/KEY: CDS 255 <222> LOCATION: (1)...(1335) 257 <220> FEATURE: 258 <221> NAME/KEY: misc feature 259 <222> LOCATION: (750)...(1332) 260 <223> OTHER INFORMATION: Coding sequence and polypeptide region for the C-terminal binding domain 261 263 <220> FEATURE: 264 <221> NAME/KEY: misc feature 265 <222> LOCATION: (631)...(783) 266 <223> OTHER INFORMATION: Coding sequence and polypeptide region for a cystein rich LIM motif 267 269 <220> FEATURE: 270 <221> NAME/KEY: misc feature 271 <222> LOCATION: (808)...(996) 272 <223> OTHER INFORMATION: Coding sequence and polypeptide region for a cystein rich LIM motif 275 <220> FEATURE: 276 <221> NAME/KEY: misc\_feature 277 <222> LOCATION: (985)...(1137) 278 <223> OTHER INFORMATION: Coding sequence and polypeptide region for a cystein rich LIM motif 279 281 <220> FEATURE: 282 <221> NAME/KEY: misc\_feature 283 <222> LOCATION: (1162)...(1314) 284 <223> OTHER INFORMATION: Coding sequence and polypeptide region for a cystein rich LIM motif 285 287 <400> SEQUENCE: 3 288 atg cca agg tca ggg gct ccc aaa gag cgc cct gcg gag cct ctc acc 48 . 289 Met Pro Arg Ser Gly Ala Pro Lys Glu Arg Pro Ala Glu Pro Leu Thr 290 1 5 96 292 cct ccc cca tcc tat ggc cac cag cca aca ggg cag tct ggg gag tct 293 Pro Pro Pro Ser Tyr Gly His Gln Pro Thr Gly Gln Ser Gly Glu Ser 25 20 296 tca gga gcc tcg ggg gac aag gac cac ctg tac agc acg gta tgc aag 144 297 Ser Gly Ala Ser Gly Asp Lys Asp His Leu Tyr Ser Thr Val Cys Lys 40 298 35 300 cct cgg tcc cca aag cct gca gcc ccg gcc gcc cct cca ttc tcc tct 192

<210> 11 <211> 32 <212> PRT <213> Homo sapien

what about Xaa at location 5? <220>

<222> 2-3, 6-13, 15, 17-18, 20-21, 23-28, 30-31

<223> Xaa can be any amino acid

<400> 11 Cys Xaa Xaa Cys (Xaa) Xaa Xaa Xaa Xaa Xaa Xaa Xaa Cys Xaa His 10 Xaa Xaa Cys Xaa Xaa Cys Xaa Xaa Xaa Xaa Xaa Cys Xaa Xaa Cys 25

RAW SEQUENCE LISTING ERROR SUMMARY

DATE: 03/31/2006

PATENT APPLICATION: US/10/517,155

TIME: 15:12:18

Input Set : A:\211080011U6.TXT

Output Set: N:\CRF4\03312006\J517155.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:11; Xaa Pos. 2,3(5) 6,7,8,9,10,11,12,13,15,17,18,20,21,23,24,25,26,27

Seq#:11; Xaa Pos. 28,30,31 Seq#:12; Xaa Pos. 2,3,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,22,23,25

Seq#:12; Xaa Pos. 26,28,29,31,32,33,34,35,36,37,38,39,40,41,42,43,44,45,46

Seq#:12; Xaa Pos. 48,49

VERIFICATION SUMMARY
PATENT APPLICATION: US/10/517,155

DATE: 03/31/2006 TIME: 15:12:18

Input Set : A:\211080011U6.TXT

Output Set: N:\CRF4\03312006\J517155.raw

L:12 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:1028 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 after pos.:0

M:341 Repeated in SeqNo=11

L:1044 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 after pos.:0

M:341 Repeated in SeqNo=12